

Intelligent Control Systems

Time-Dependent Rules and Rule Bases

Katalin Hangos

Department of Electrical Engineering and Information Systems

September 2016

- Time-dependent rules
 - signals and time-dependent predicates
 - datalog rule sets
 - transformation to datalog rule form
- Verification of rule bases
 - contradiction freeness
 - completeness

Rules - syntax

Rule formats

if condition **then** conclusion;

condition \rightarrow conclusion;

where both "condition" and "conclusion" are logical expressions.

Logical expressions

syntactical elements

- logical constants: **true**, **false**
- **predicates**: atomic logical expression with the value **true** or **false**
- logical operations: \wedge (**and**), \vee (**or**), \neg (**not**), \rightarrow (implication)

Time dependent predicates

Arithmetic predicates based on signal values

syntactical elements

- constants: numerical (e.g. 0.0) or qualitative (e.g. **high** or **open**)
- arithmetic **relation symbols**: $=$, \neq , \leq , $<$, \geq , $>$
- signal identifier: denoting the time dependent value of a signal, e.g. the level of a tank $\ell(t)$, or the status of a valve $v_1(t)$

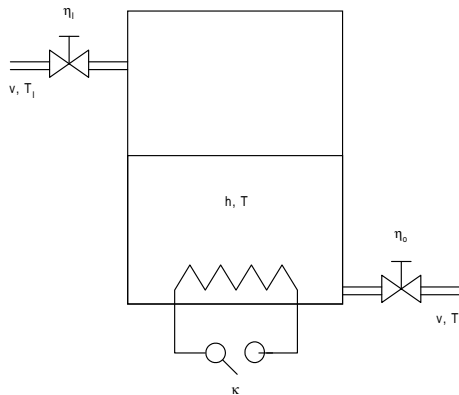
Examples

$$\begin{aligned}
 p_1 &= (\ell = \text{high}) & p_2 &= (\ell \geq 1.0) \\
 p_3 &= (v_1 = \text{open}) & p_4 &= (v_1 \neq \text{closed})
 \end{aligned}$$

Time dependent rules contain time dependent predicates

$$(p_1 \wedge p_2) \rightarrow p_3$$

The operation of the coffee machine



Engineering model equations

$$\begin{aligned}
 \frac{dh}{dt} &= \frac{v}{A} \eta_I - \frac{v}{A} \eta_O && \text{(mass balance)} \\
 \frac{dT}{dt} &= \frac{v}{Ah} (T_I - T) \eta_I + \frac{H}{c_p \rho h} \kappa && \text{(energy balance)}
 \end{aligned} \tag{1}$$

Rules describing the operation of the coffee machine

Rules originate from the **mass balance**

Predicates:

- input: $p_{Isz} = (\eta_I = 1)$, $p_{Osz} = (\eta_O = 1)$
- state: $p_{hinc} = (\Delta h > 0)$, $p_{hstd} = (\Delta h = 0)$, $p_{hsmall} = (h < 0.1 \text{ cm})$,
 $p_{hnormal} = (13 \text{ cm} < h < 15 \text{ cm})$

Rules:

IF $(p_{Isz} \wedge \neg p_{Osz})$ *THEN* p_{hinc}

IF $(\neg p_{Isz} \wedge p_{Osz})$ *THEN* $\neg p_{hinc}$

IF $(\neg p_{Isz} \wedge \neg p_{Osz})$ *THEN* $\neg p_{hinc}$

IF $(p_{hsmall} \wedge p_{hinc})$ *THEN* $p_{hnormal}$

IF $(p_{hnormal} \wedge \neg p_{hinc})$ *THEN* p_{hsmall}

Datalog rules - definition

Datalog rule sets have the following properties

- D1. *There is no function symbol in the arguments of the rules' predicates.*
- D2. *There is no negation \neg applied to the predicates and the rules are in the following form:*

$$(p_{i_1} \wedge \cdots \wedge p_{i_n}) \rightarrow q_i;$$

- D3. *The rules should be "safe rules", that is their value should be evaluated in finite number of steps.*

Transformation to **datalog** form

General rule sets are transformed to datalog form by

- M1. *Remove function symbols* for requirement D1.
functions are computed by infinite series
- M2. *Remove negations and disjunctions* (\neg and \vee operations) for requirement D2.
implicative normal form + negation of the relation

$$\neg(a > b) = (a \leq b) \quad , \quad \neg(a = b) = (a \neq b) \quad \text{etc.}$$

$$\begin{array}{lll}
 (s_0) : & (p_{i_1} \wedge \dots \wedge p_{i_n}) & \rightarrow & (q_{i_1} \wedge \dots \wedge q_{i_m}); \\
 & & \text{becomes} & \\
 (s_{i_1}) : & (p_{i_1} \wedge \dots \wedge p_{i_n}) & \rightarrow & q_{i_1}; \\
 & & \dots & \\
 (s_{i_n}) : & (p_{i_1} \wedge \dots \wedge p_{i_n}) & \rightarrow & q_{i_m};
 \end{array}$$

- M3. *Use finite digit realization of real numbers* for requirement D3.

Dependence graph of datalog rules

Dependence graph $D = (V_D, E_D)$: **directed** graph

- 1 The vertex set of the graph is the set of the predicates in the rule set

$$V_D = P$$

- 2 Two vertices p_i and p_j are connected by a directed edge $(p_i, p_j) \in E_D$ if there is a rule in the rule set such that p_i is present in the *condition* part and p_j is the *consequence*.
- 3 Label the edges (p_i, p_j) by the rule identifier they originate from.

Analysis of datalog rule sets

The dependence graph shows how the predicate values depend on each other.

- The set of entrances of the dependence graph are the **root predicates**: they should be given if we want to compute the value of the others.
- *Directed circles* show that the result of the computation may depend on the computation order.

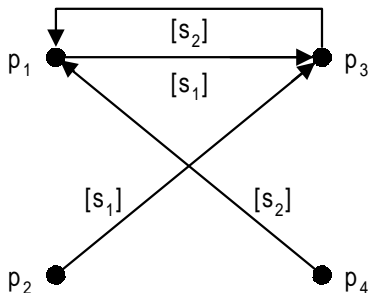
If there is no directed circle in the dependence graph then we obtain the same reasoning (evaluation) result regardless of the computation order.

Dependence graph – example

Set of predicates: $P = \{p_1, p_2, p_3, p_4\}$

The implication form of the rule set

$$(s1) : (p_1 \wedge p_2) \rightarrow p_3; \quad (s2) : (p_3 \wedge p_4) \rightarrow p_1;$$



Testing knowledge bases

We can test a knowledge base in two principally different ways.

- Either we *validate* it by comparing its content with additional knowledge of a different type,
- or we *verify* it by checking the knowledge elements against each other to find conflicting or missing items.

Properties to be checked during verification

- contradiction freeness
- completeness

Definition of contradiction freeness

Reliable knowledge bases have a unique primary or inferred knowledge item, if they have any, irrespectively of the way of reasoning.

Definition:

A rule-based knowledge base with *datalog rules* is contradiction free if the value of any of the non-root predicates is uniquely determined by the rule-base using the rules for forward chain reasoning.

Testing contradiction freeness

The algorithmic problem

Testing Contradiction Freeness

Given:

- *A rule-based knowledge base with its datalog rule structure.*

Question:

Is the rule-base contradiction free?

Testing contradiction freeness 2

Solution:

- ① *Determine the set of root predicates (polynomial)*
by analyzing the dependence graph or by collecting all predicates which do not appear on the consequence part of any rule.
- ② *Construct the set of all possible values for the root predicates (to be stored in the set S_{rp} , non-polynomial)*
by considering the possible values **true**, **false** for every root predicate. The number of the elements in this set is $2^{n_{rp}}$.
- ③ *For every element in S_{rp} perform forward chaining and compute the value of the non-root predicates in every possible way (NP-complete)*
- ④ *Finally, check that the computed values for each of the non-root predicates are the same.* If yes then the answer to our original question is yes, otherwise *no*.

A simple example

Set of predicates $P = \{p_1, p_2, p_3, p_4, p_5\}$ so that $p_5 = \neg p_4$ holds. This is described by a "virtual" rule pair:

$$(r_{01}) : p_5 \rightarrow \neg p_4; \quad (r_{02}) : p_4 \rightarrow \neg p_5;$$

The implication form of the rule set

$$(r_1) : (p_1 \wedge p_2) \rightarrow p_4;$$

$$(r_2) : (p_3 \wedge p_1) \rightarrow p_5;$$

$$(r_3) : (p_1 \wedge p_2) \rightarrow p_3;$$

The set of root predicates is $P_{root} = \{p_1, p_2\}$

With the following values for the root predicates: $p_1 = \mathbf{true}$, $p_2 = \mathbf{true}$ we get for p_4 the following values

- **true** from (r_1)
- **false** from (r_3) , (r_2) , (r_{01})

Analyzing contradiction freeness

Verification strategies

- 1 *global verification* - in one shot, perform Testing Contradiction Freeness
- 2 *incrementally* with each new element, perform Analyzing Contradiction Freeness

Analyzing Contradiction Freeness

Given:

- *A rule-based knowledge base with datalog rules*

Compute:

the whole set of possible reasoning trees to generate all possible values of the non-root predicates.

Solution:

By comparing the problem statement above to that of Testing Contradiction Freeness it can be seen that the Analyzing Contradiction Freeness problem is *NP*-hard both from the viewpoint of time and space.

Definition of completeness

Rich enough knowledge bases have an answer (even this answer is not unique) to every possible query or question.

Definition:

A rule-based knowledge base with *datalog rules* is complete if any non-root predicate gets a value when performing forward chain reasoning with the rules.

Testing completeness

The algorithmic problem

Testing Completeness

Given:

- *A rule-based knowledge base with its datalog rule structure.*

Question:

Is the rule-base complete?

Testing completeness 2

Solution:

- ❶ *Determine the set of root predicates* (polynomial)
by analyzing the dependence graph or by collecting all predicates which do not appear on the consequence part of any rule.
- ❷ *Construct the set of all possible values for the root predicates* (to be stored in the set S_{rp} , non-polynomial)
by considering the possible values **true**, **false** for every root predicate. The number of the elements in this set is $2^{n_{rp}}$.
- ❸ *For every element in S_{rp} perform forward chaining and generate a reasoning tree* (NP-complete) until either all non-root predicates appear at least once or all the rules have been applied in every possible order.
- ❹ Finally, *check that each of the non-root predicates gets at least one value in every possible case*. If yes then the answer to our original question is yes, otherwise *no*.

A simple example: a new version

Set of predicates $P = \{p_1, p_2, p_3, p_4, p_5\}$ so that $p_5 = \neg p_4$ holds. This is described by a "virtual" rule pair:

$$(r_{01}) : p_5 \rightarrow \neg p_4; \quad (r_{02}) : p_4 \rightarrow \neg p_5;$$

The implication form of the rule set

$$(r_1) : (p_1 \wedge p_2) \rightarrow p_4;$$

$$(r_2) : (p_3 \wedge p_1) \rightarrow p_5;$$

$$(r_3) : (p_1 \wedge p_2) \rightarrow p_3;$$

The set of root predicates is $P_{root} = \{p_1, p_2\}$

With the values for the root predicates $p_1 = \mathbf{true}$, $p_2 = \mathbf{false}$, we have no applicable rule from the rule set therefore the non-root predicates p_3 , p_4 and p_5 are undetermined in this case.

Analyzing completeness

For *incrementally* developed rule bases.

Analyzing Completeness

Given:

- A *rule-based knowledge base* with datalog rules

Compute:

the whole set of possible reasoning trees to generate all possible values of the non-root predicates.

Solution:

By comparing the problem statement above to that of Testing Completeness it can be seen that the Analyzing Completeness problem is *NP-hard* both from the viewpoint of time and space.

Decomposition of the rule base is used to overcome the problems of algorithmic complexity.