

Discrete and continuous dynamic systems

Petri Nets

Definition and operation

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Lecture overview

- 1 Previous notions
 - Discrete event systems
 - Automata
 - Petri nets
- 2 Generalized Petri net models
 - Low level Petri nets
 - Hierarchical Petri nets
 - Timed Petri nets
 - Coloured Petri nets
- 3 Reachability graph of Petri nets
 - Operation (dynamics) of Petri nets
 - Parallel and conflicting execution steps
 - Solution of Petri net models
 - The reachability graph
- 4 Analysis of discrete event system models

Discrete event systems

Characteristic properties:

- the *range space* of the signals (input, output, state) is **discrete**:
 $x(t) \in \mathbf{X} = \{x_0, x_1, \dots, x_n\}$
- *event*: the occurrence of change in a discrete value
- *time is also **discrete***: $T = \{t_0, t_1, \dots, t_n\} = \{0, 1, \dots, n\}$

Only the **order of the events** is considered

- description of sequential and parallel events
- **application area**: scheduling, operational procedures, resource management

Automaton - abstract model: $G = (X, U, Y, f, g, x_0)$

- **finite set of states:** $X = \{x_1, x_2, \dots, x_n\}$
- **finite set of input events:** $U = \{\varepsilon; u_1, u_2, \dots, u_m\}$
- **finite set of output events:** $Y = \{\varepsilon; y_1, y_2, \dots, y_n\}$
- **(partial) state transition function:** $f : X \times U \rightarrow X$ e.g.
 $f(x_1, u_3) = x_2$
- **output function:**
 $g : X \times U \rightarrow Y$ e.g. $g(x_1, u_3) = y_1$ (Mealy automaton)
 $g : X \rightarrow Y$ e.g. $g(x_1) = y_2$ (Moore automaton)
- *initial state:* x_0

Graphical description: weighted directed graph

- **Vertices:** states (X)
- **Edges:** state transitions (f)
- **Edge weights:** input/output symbols (Mealy),
input symbols (Moore)

Automata - discrete event systems

	Automaton model	Discrete event state space model
State space	X	$\mathcal{X} \in \mathbb{Z}^n$
Input u	string from U	discrete time discrete valued signal
Output y	string from Y	discrete time discrete valued signal
State equation	$x(k+1) = f(x(k), u(k))$	$x(k+1) = \Psi(x(k), u(k))$
Output equation	$y(k) = g(x(k), u(k))$ (Mealy) $y(k) = g(x(k))$ (Moore)	$y(k) = h(x(k), u(k))$

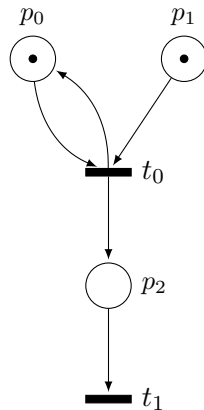
(ordinary) Petri net - abstract description:
 $\mathbf{PN} = (P, T, I, O)$

Static description (structure)

- set of **places (conditions)**: P
- set of **transitions (events)**: T
- **Input (pre-condition) function**:
 $I : T \rightarrow P^\infty$
- **Output (consequence) function**:
 $O : T \rightarrow P^\infty$

Graphical description: bipartite directed graph

- **Vertices**: places (P) and transitions (T) (partitions)
- **Edges**: input and output functions (I, O)



Overview - Generalized Petri nets

- 1 Previous notions
- 2 Generalized Petri net models**
 - Low level Petri nets
 - Hierarchical Petri nets
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 - Coloured Petri nets
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Generalized Petri net models

- **Low level Petri nets**
- **Hierarchical Petri nets**
- **Timed Petri nets:** using inscriptions
 - clock: built in (or special "source" place)
 - firing time to transitions
 - (waiting time for places)
- **Coloured Petri nets:** using inscriptions
 - tokens have discrete value ("colour")
 - colour set to places
 - discrete functions to the transitions and arcs

Low level Petri nets

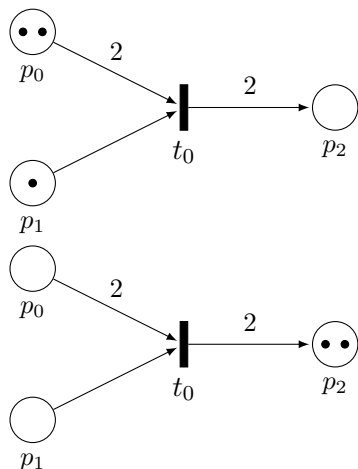
Definition: $PN = (P, T, F, W, M_0)$

- P set of places
- T set of transitions
- $F \subseteq (P \times T) \cup (T \times P)$ set of arcs
- $W : F \rightarrow \mathbb{N}$ arc weights
- $M_0 : P \rightarrow \mathbb{N}$ initial marking
- $P \cap T = \emptyset$ and $P \cup T \neq \emptyset$

Enabling of a transition

$$\mu(p) \geq W(p, t), \forall p, \text{ where } p \text{ is the input place of } t$$

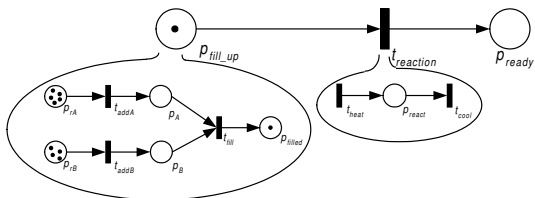
Firing of a transition: it consumes and produces tokens according to the weight function



Hierarchical Petri nets

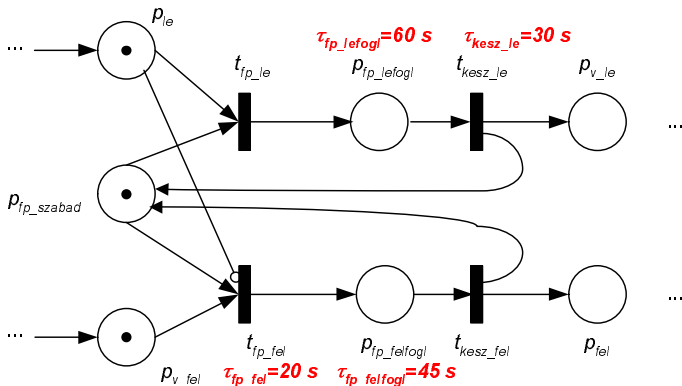
Super net - subnets:

building in: to any place or transition
similar repetitive net-fragments



Petri net model of a runway – 3

Timed Petri net model



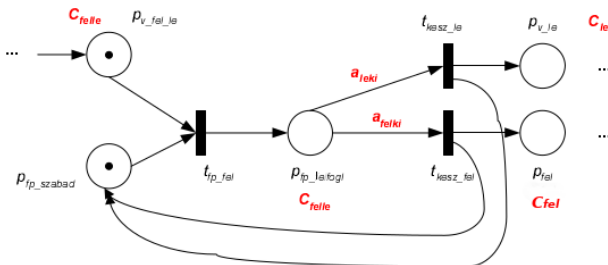
Petri net model of a runway – 4

Coloured Petri net model: "inscriptions"

Edge function: $a_{felki} : \text{if } val(p_{fp_lefogl}) = \uparrow \text{ then } \text{"true"}$

$$a_{fel} = val(p_{fp_lefogl}), \quad val(p_{fel}) = a_{fel}$$

Colour set: $C_{felle} = \{ \uparrow, \downarrow \}$



Overview - Petri nets: operation and reachability graph

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Dynamics of Petri nets

Marking function: marking points (**tokens**)

$$\begin{aligned} \mu : \mathbf{P} &\rightarrow \mathbb{N} \quad , \quad \mu(p_i) = \mu_i \geq 0 \\ \underline{\mu}^T &= [\mu_1, \mu_2, \dots, \mu_n] \quad , \quad n = |\mathbf{P}| \end{aligned}$$

A transition is **enabled** when its pre-conditions are "true" (there is at least one **token** on its input places)

$$\forall p \in I(t, p) : \mu(p) \geq 1$$

An enabled transition may **fire** (operates): it "consumes" tokens from all of its input places and produces tokens in each output places

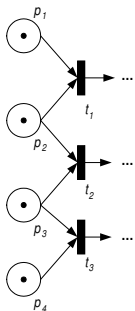
Notion: $\underline{\mu}^{(i)}[t_j > \underline{\mu}^{(i+1)}$

Firing (operation) sequence

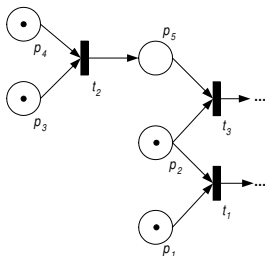
$$\underline{\mu}^{(0)}[t_{j0} > \underline{\mu}^{(1)}[t_{j1} > \dots[t_{jk} > \underline{\mu}^{(k+1)}$$

Parallel events

More than one enabled (fireable) transition:
 concurrency (independent conditions), conflict, confusion



a,



b,

The solution problem

Abstract problem statement

Given:

- a *formal description* of a discrete event system model
- *initial state(s)*
- *external events*: system inputs

Compute:

- the sequence of *internal (state and output) events*

The solution is **algorithmic!** **The problem is NP-hard!**

Petri net models – reachability graph

Solution: marking (systems state) sequences
reachability graph (tree) (weighted directed graph)

- *vertices*: markings
- *edges*: if exists transition the firing of which connects them
- *edge weights*: the transition and the external events

Construction:

- ① *start*: at the given initial state (marking)
- ② *adding a new vertex*: by firing an enabled transition (with the effect of inputs!)

May be NP-hard (in conflict situation or non-finite operation)

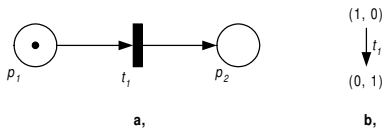
Construction of the reachability graph

$\underline{\mu}^{(0)}$ is the root. L is the list of new nodes.

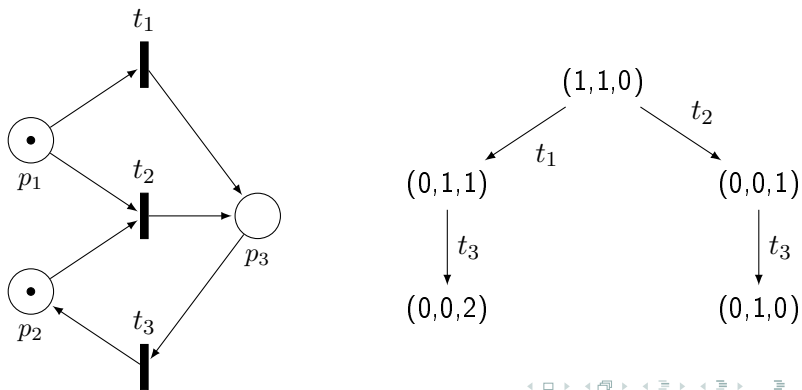
- 1 Add $\underline{\mu}^{(0)}$ to L .
- 2 If L is empty then stop, the reachability graph is ready. Otherwise choose the first node x from L with the associated marking. Remove x from L .
- 3 If another node y exists in the graph with the same associated marking, then x is a duplicate.
- 4 If no transition enabled in the marking of x then x is a terminal node (deadlock).
- 5 For all transitions enabled in the marking of node x :
 - Create a new node and connect it to x with an edge, labelled by the fire transition. Add this node to the end of L .
 - Determine the marking associated with the new node.
- 6 Continue with step 2.

Reachability graphs

Finite case

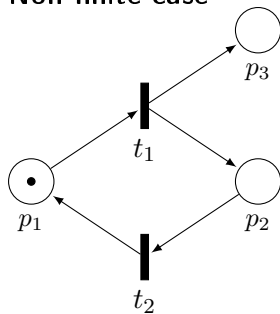


Branches



Reachability graphs

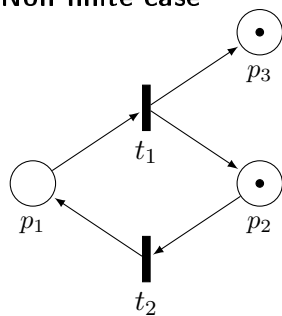
Non-finite case



$(1,0,0)$

Reachability graphs

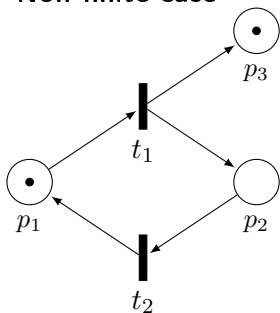
Non-finite case



$$\begin{array}{c} (1, 0, 0) \\ \downarrow t_1 \\ (0, 1, 1) \end{array}$$

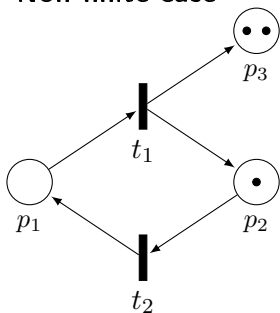
Reachability graphs

Non-finite case

 $(1, 0, 0)$ $\downarrow t_1$ $(0, 1, 1)$ $\downarrow t_2$ $(1, 0, 1)$

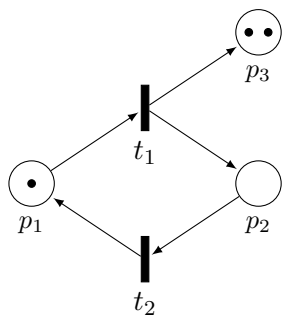
Reachability graphs

Non-finite case


 $(1, 0, 0)$
 $\downarrow t_1$
 $(0, 1, 1)$
 $\downarrow t_2$
 $(1, 0, 1)$
 $\downarrow t_1$
 $(0, 1, 2)$

Reachability graphs

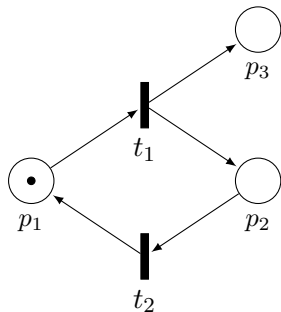
Non-finite case


 $(1, 0, 0)$
 $\downarrow t_1$
 $(0, 1, 1)$
 $\downarrow t_2$
 $(1, 0, 1)$
 $\downarrow t_1$
 $(0, 1, 2)$
 $\downarrow t_2$
 \dots

Non-finite reachability graph

Reduction: using the ω symbol

- a marking $\underline{\mu}'$ "dominates" an other node $\underline{\mu}$, if $\underline{\mu}$ is on the path from the root to $\underline{\mu}'$ and
 - $\forall p \in P \mu'(p) \geq \mu(p)$ (might be equal for all places!)
 - $\exists p \in P \mu'(p) > \mu(p)$ (at least one place has more tokens on it)
- the diverging number of tokens can be denoted by ω
- if the parent marking contains ω , then all of its children will have ω in the same place

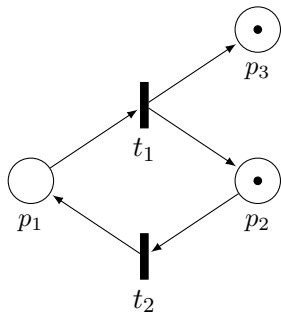


(1,0,0)

Non-finite reachability graph

Reduction: using the ω symbol

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$$(1, 0, 0)$$

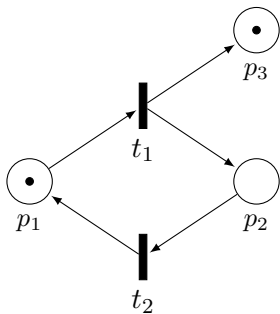
$$\downarrow t_1$$

$$(0, 1, 1)$$

Non-finite reachability graph

Reduction: using the ω symbol

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$(1,0,0)$

$\downarrow t_1$

$(0,1,1)$

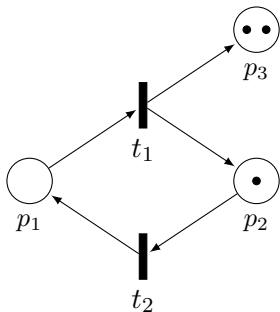
$\downarrow t_2$

$(1,0,\omega)$

Non-finite reachability graph

Reduction: using the ω symbol

- a marking $\underline{\mu}'$ "dominates" an other node $\underline{\mu}$, if $\underline{\mu}$ is on the path from the root to $\underline{\mu}'$ and
 - $\forall p \in P \mu'(p) \geq \mu(p)$ (might be equal for all places!)
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- the diverging number of tokens can be denoted by ω
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$(1,0,0)$

$\downarrow t_1$

$(0,1,1)$

$\downarrow t_2$

$(1,0,\omega)$

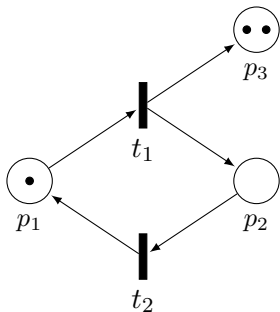
$\downarrow t_1$

$(0,1,\omega)$

Non-finite reachability graph

Reduction: using the ω symbol

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- the diverging number of tokens can be denoted by ω
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$$\begin{array}{c}
 (1,0,0) \\
 \downarrow t_1 \\
 (0,1,1) \\
 \downarrow t_2 \\
 (1,0,\omega) \\
 \begin{array}{l} \nearrow t_2 \\ \downarrow t_1 \\ \searrow t_1 \end{array} \\
 (0,1,\omega)
 \end{array}$$

Analysis of Petri net models

Dynamic properties

- *behavioural* (initial state dependent)
- *structural* (only depends on the structure graph)

Behavioural properties

- *reachability* (coverability, controllability)
- *deadlocks*, liveness
- *boundedness*, safeness
- (token) conservation

Structural properties

- *state and transition invariant*: cyclic behaviour

Reachability of Petri net models

The notion of **reachability**: whether there exists

- to a given *[initial state ($\underline{\mu}^{(I)}$), final state ($\underline{\mu}^{(F)}$)]* pair
- a *firing sequence*, such that

$$\underline{\mu}^{(I)} [t_{j0} > \underline{\mu}^{(1)} [t_{j1} > \dots [t_{jk} > \underline{\mu}^{(F)}$$

The notion of **coverability**:

$$\underline{\mu}'' \geq \underline{\mu}' \Leftrightarrow \forall i : \mu''_i \geq \mu'_i$$

The same as the usual controllability

Boundedness of Petri nets

Related properties to **boundedness**

- *finiteness (boundedness)*: Is the number of tokens finite for every initial state?
- *Safeness*: the bound is 1 for each place

Can be defined (examined) for the **whole net** or only for a **given set of places**

Conservative Petri net: the number of tokens is constant
(resource-conservation)

Liveness of Petri nets

The notion of **liveness**: from a given initial state

- for a *transition*: is there a firing sequence when the transition is active?
- for a *set of transition*, for the whole net
- different levels of liveness for a transition t :
 - L0-live or dead: t can never fire in any firing sequence
 - L1-live or potentially fireable: t can fire at least once in some firing sequence
 - L2-live: t can fire at least k times in some firing sequence
 - L3-live: t can fire infinitely often in some firing sequence
 - L4-live: t is L1 live-for every marking

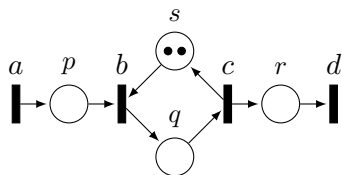
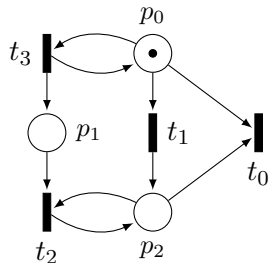
Deadlock: a non-final state from where there is no enabled (fireable) transition

Liveness examples

- t_0 is L0-live (dead)
- t_1 is L1-live
- t_2 is L2-live
- t_3 is L3-live

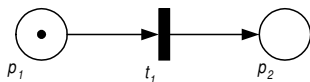
Resource allocation (queue with two servers)

- p client to be served
- q client is being served
- r client has been served
- s free servers
- a arrival of client
- d departure of client
- b start of service
- c end of service
- **All transitions are L4-live**

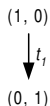


Simple Petri net examples

Deadlock: the marking $(0, 1)$

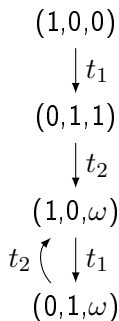
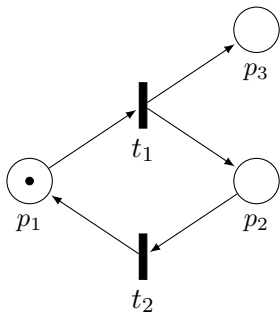


a,



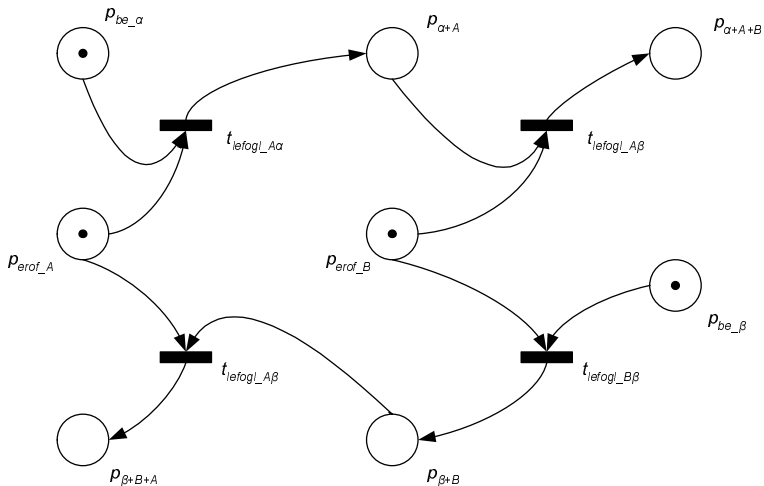
b,

Non-bounded place: p_3

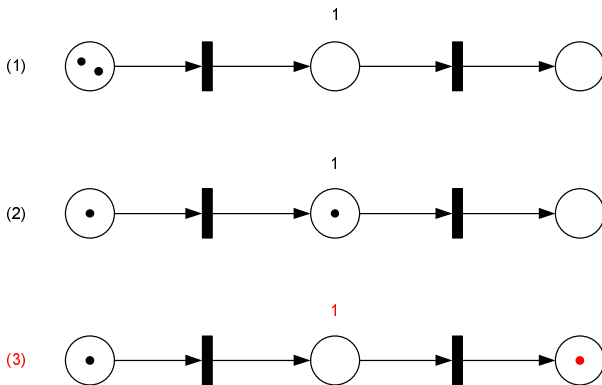


Resource allocation deadlock

Conflict situations



A safe place example



The capacity of the places changes the enabling of the transitions

Dynamic analysis methods of Petri net models – 1

Analysis of **behavioural properties**

- by constructing the *reachability graph*
- and *searching* on the vertices of the graph
- may be *NP-hard*

Problems:

- cyclic behaviour
- non-bounded places

Dynamic analysis methods of Petri net models – 2

Structural properties

- by constructing the *occurrence matrix* of the Petri net graph

$$H \in \mathbb{R}^{|P| \times |T|}$$

- and solving *linear set of equations*
- *polynomial time*, restricted importance

The elements of the occurrence matrix (for nets without loops)

$$h_{ij} = w(p_i, t_j) = \begin{cases} < 0 & \text{if } p_i \text{ precondition} \\ > 0 & \text{if } p_i \text{ consequence} \end{cases}$$

Place and transition invariants

Place invariant: set of conservation places $P_{INV} \subseteq P$
by solving the equation

$$z^T H = \underline{0}^T \quad , \quad z \in \mathbb{R}^{|P|}$$

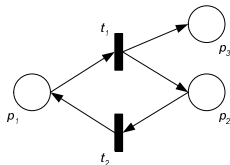
for its non-trivial solutions (z is the indicator vector)

Transition invariant: a set of transitions $T_{INV} \subseteq T$ that brings the
system back to the initial state
by solving the equation

$$Hv = \underline{0} \quad , \quad v \in \mathbb{R}^{|T|}$$

for its non-trivial solutions (v is the indicator vector)

Place and transition invariants – Example



Place invariant:

$$[z_1 \ z_2 \ z_3] \cdot \begin{bmatrix} -1 & 1 \\ 1 & -1 \\ 1 & 0 \end{bmatrix} = [0 \ 0] \quad \Rightarrow \quad z_1 = z_2$$

Transition invariant: without p_3 !!

$$\begin{bmatrix} -1 & 1 \\ 1 & -1 \end{bmatrix} \cdot \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \quad \Rightarrow \quad v_1 = v_2$$